Husk Registrars

AUDIT REPORT

ISO 9001:2015 Surveillance 1 Audit

Start Date: 11/19/2024 End Date: 11/20/2024

Midwest Manufacturing & Logistics

233 North Cleveland Street

Minster, OH 45865 USA

ISO 9001:2015 Audit Plan
1 Day Surveillance Audit
Start Date: 11/19/2024 End Date: 11/20/2024

Midwset Manufacturing & Logistics
233 North Cleveland Street
Minster, OH 45865

Contact: Ms. Terry O'Leary
Phone: 517 404 1528 **Ext.:**
E-mail: Toleary@lawtonstandard.com

Audit Schedule	
Base Month	**November**
Audits Per Year	**1**
Days Per Audit	**2 (Re-certification in 2026)**

Husk Registrars
Auditor: John Senter
Phone: 920265 5743
E-Mail: john.senter@live.com

Audit objectives are: To confirm that the management system has been established and implemented in accordance with the requirements of the referenced standard.

Time	Area / Department / Process / Function	Key Contact
Day 1 9:00	Opening Meeting – Confirm Scope	Terry, Steve
9:30	Plant Tour	Terry, Steve
	QMS system documentation	Terry
	Context and Interested Parties, Communication, Management System Reviews (Actions to address risks and opportunities. Quality objectives and planning to achieve them.), Internal Audit Records, and Corrective Action Records, customer feedback	Terry, Steve
	Follow up from last external audit	Terry
12:00	Lunch	
12:4	Marketing/Sales/Quotes	Emily, James
	Order Review	Emily
3:30	Auditor's time to complete reports	
4:30	Closing Meeting	Terry
Day 2 9:00	Arrive on-site	Terry et al.
9:30	Maintenance/Infrastructure/IT	Steve, Bill

12:00	Lunch	
12:45	Purchasing/Receiving	Steve, Todd
	Shipping/Preservation of product	Todd
3:30	Auditor's time to complete reports	
4:30	Closing Meeting	

Notes to Client:

Times are approximate and will be confirmed at the opening meeting prior to commencement of the audit.

Husk Registrars auditors reserve the right to change or add to the elements listed before or during the audit depending on the results of on-site investigation and review of previous audit documents.

A private place for preparation, review and conferencing is required for the auditor's use.

Audit Report

The following significant changes have occurred:

☐ None ☐ Scope ☐ Sites ☐ Contact info ☐ other

QMS Scope: Leading Manufacturer of Gray and Ductile Iron Castings
IAF Code: 17, 23
Number of Sites: 1
Number of Employees: 65
Number of Shifts: 3

1. Audit Objectives

The objectives of this audit are:

1. to confirm that the management system conforms with all the requirements of the audit standard;
2. to confirm that the organization has effectively implemented its planned management system;
3. to confirm that the management system is capable of achieving the organization's policies objectives.

2. Previous Audit Results

The results of the last audit of this system have been reviewed, in particular to assure appropriate correction and corrective action has been implemented to address any nonconformity identified. This review has concluded that:

☒ Any nonconformity identified during previous audits has been corrected and the corrective action continues to be effective.

☐ The management system has not adequately addressed nonconformity identified during previous audit activities and the specific issue has been re-defined in the nonconformity section of this report.

3. Significant Audit Trails Followed

The specific site, processes, activities and functions reviewed are detailed in the Audit Planning Matrix and the Audit Plan. In performing the audit, various audit trails and linkages were developed, including the following primary audit trails:

Management Review: Midwest Manufacturing's top management reviews the organization's quality management system during its weekly management meetings and the periodic quality meetings to ensure its continuing suitability, adequacy and effectiveness. These reviews include assessing opportunities for improvement and the need for changes to the quality management system. As one of the measurements of the performance of the quality management system, Midwest Manufacturing monitors information relating to customer perception as to whether the organization has met customer requirements. Reference MDI Tier III (Safety, Quality, Production. Maintenance, Sales, Site Specific). Reference daily planning meetings. Reference Management Review conducted on 11.14.2024 (done annually). Reference Quality Objectives of: External NC 1% or less and Internal Scrap of 3% or less. Reference Interested Parties and SWOT Analysis both reviewed in Management Review. Reference Management Review template, revision 00. Reference quarterly business review meeting (Power Point). Site is clean and well-kept/well lit.

Statutory/Regulatory items in place (chemical/fire/health). All material/in-process and final product identified. Management very visual/present in facility.

Internal Audits: Referenced Internal Audit Records for Management Review, Shakeout, Packing/Shipping, Large Mold, Part Processing. Audit package includes process map and checklists. Midwest Manufacturing conducts internal audits at planned intervals, as determined by documented procedure, to determine whether the quality management system conforms to the planned arrangements, to the requirements of this International Standard and to the Quality Management System requirements established by. Reference Internal Audit Schedule for 2023-2024 (current). Very good internal audit checklist in place, documented.

Corrective and Preventive Action: Midwest Manufacturing takes action to eliminate the cause of nonconformities in order to prevent recurrence. Corrective action activities are appropriate to the effects of the nonconformities encountered. A documented procedure is established to define requirements for reviewing nonconformities (including customer complaints), determining the causes of nonconformities, determining and implementing action needed. The procedure addresses records of the results of action taken and reviewing of the corrective action taken. Referenced Corrective and Preventive Action Records. Reference CAR Log dated to 10.22.2024, 8 open CARs. Reference NMR Log.

Processes Audited:

Marketing, Sales and Quotes: Midwest Manufacturing determines requirements specified by the customer, including the requirements for delivery and post-delivery activities, requirements not stated by the customer but necessary for specified or intended use, where known, statutory and regulatory requirements related to the product, and any additional requirements determined by Midwest Manufacturing. Midwest Manufacturing reviews the requirements related to the product. Reference quote #'s Q21590/21591/21592 to Hoosier Pattern, Q21843 to Nidec and Q21778 to Electric Machinery. Reference SOP 10 Quoting. Reference costing sheet. Reference quote log.

Order Review / Entry: Midwest Manufacturing ensures that product requirements are defined, contract or order requirements differing from those previously expressed are resolved, and Midwest Manufacturing has the ability to meet the defined requirements. Records of the results of the review and actions arising from the review are maintained. Where product requirements are changed, Midwest Manufacturing ensures that relevant documents are amended and that relevant personnel are made aware of the changed requirements. Reference customer purchase order #'s 451555096 from Electric Machinery, 4500039831 from Nidec and 101581 from Hoosier Pattern for customer shop orders 4504356/4504357, 4504747 and 4504938, respectively.

Purchasing/Receiving: Purchasing information describes the product or service to be purchased, including where appropriate: requirements for approval of product, procedures, processes and equipment, requirements for qualification of personnel, and Quality Management System requirements. Midwest Manufacturing ensures the adequacy of specified purchase requirements prior to their communication to the supplier. Reference receiving documents for purchase order #'s 450001920 to Carpenter Brothers dated 10.28.2024, 450001795 to Hickman, Williams and Co. dated 08.15.2024 and 450001874 to Primetrade dated 0920.2024. Reference Reference BOL/Packing List for purchase order #;s 450001874 Primetrade, 45001795 Hickman and 450001920 Carpenter Brothers. Reference procedure QP 8.4 Purchasing (Platform). Suppliers evaluated and re-evaluated at platform level. Purchased items at site level require purchase requisition, approved by Plant Manager.

Infrastructure/Maintenance: Maintenance records for process equipment have been defined or determined as required by the standard. Reference annual crane inspection from Wolter dated 2024. Reference daily (paper) and annual forklift inspection for Yale lifts. Reference maintenance for shot blaster, compressors, ladle. Reference pattern/tooling maintenance for shop order # 4050028 and 4505446. Refence Waste Removal from Republic Services dated 11.07.2024 and for Dust Box, Lean Foundry Sand, Sand Overflow, Refractory Brick, Pattern Shop.

Shipping / Preservation: Midwest determines requirements specified by the customer, including the requirements for delivery and post-delivery activities, requirements not stated by the customer but necessary for delivery and post-delivery activities when known, statutory and regulatory requirements related to the product and any additional requirements determined by the organization for delivery and post-delivery activities. Reference BOL/Packing Slip for order #'s 4502138-2 dated for shipment on 11.19.2024 to SKF, 4502085-1/2/3 for US Pipe dated for shipment on 11/20/2024. Reference Ready to Ship spreadsheet for APGM.

4. Audit Findings

I conducted a process-based audit focusing on significant aspects/risks/objectives defined the ISO 9001:2015 Standard. The audit methods used were interviews, observation of activities and review of documentation and records. I find that:

The management system documentation demonstrated conformity with the requirements of the audit standard and provided sufficient structure to support implementation and maintenance of the management system.	☒ Yes	☐ No
The organization has demonstrated effective implementation, maintenance and improvement of its management system.	☒ Yes	☐ No
The organization has demonstrated the establishment and tracking of appropriate key performance objectives and targets and monitored progress towards their achievement.	☒ Yes	☐ No
The internal audit program has been fully implemented and demonstrates effectiveness as a tool for maintaining and improving the management system.	☒ Yes	☐ No
The management review process demonstrated capability to ensure the continuing suitability, adequacy and effectiveness of the management system.	☒ Yes	☐ No
Throughout the audit process, the management system demonstrated overall conformance with the requirements of the audit standard.	☒ Yes	☐ No
Certification claims are accurate	☒ Yes	☐ No

5. Compliance Issues:

Compliance Issue ☐ Major ☐ Minor

Department / Function:

Standard Ref:

Customer Document Ref.:

Issue / Rev. Status:

Details of Compliance Issue:

Compliance Issues detailed here shall be addressed through Midwest Manufacturing & Logistics' corrective action process, in accordance with the relevant corrective action requirements of the audit standard, including actions to prevent recurrence, and complete records maintained.

☐ **Major Compliance Issues:**

Major non-conformances indicate a failure of the quality management system and places your certificate in jeopardy of revocation.

Corrective actions to address identified major compliance issues shall be carried out immediately.

Corrective action records with supporting evidence sent to the Husk Registrars auditor within **30 days**.

Note: When major compliance issues, determined by Husk Registrars, effect shipped product quality and customers, a Husk Registrars auditor will perform a follow up visit within **90 days** to confirm the actions taken, evaluate their effectiveness, and determine whether certification can be granted or continued.

☐ **Minor Compliance Issues:**

Corrective actions to address identified compliance Issues shall be initiated and submitted to the auditor within **60 days** for approval.

Verification of Corrective Actions:

At the next scheduled audit visit, the Husk Registrars auditor will follow up on all identified Compliance Issues to confirm the implementation and effectiveness of the corrective actions taken.

6. General Observations & Opportunities for Improvement

- None. Well done.

7. Current Audit Findings and Conclusions:

I conducted a process-based audit focusing on the requirements of the ISO 9001:2015 standard; on the Midwest Manufacturing & Logistics' planned, documented and implemented management system and the unique requirements of Midwest Manufacturing & Logistics' customers.

The methods used were interviews, observation of activities and review of documentation and records.
The structure of the audit was in accordance with the audit plan and audit planning matrix included with this summary report.

I conclude that Midwest Manufacturing & Logistics ☒ has / ☐ has not established and maintained its Management system in line with the requirements of the standard and demonstrated the ability of their system to achieve requirements for products and services within their scope, policy and objectives.

Therefore, I recommend that based on the results of this audit and the system's demonstrated state of development and maturity that this management system certification be:

☐ Granted by Husk Registrars
☒ Continued until the next scheduled audit

☐ Granted/Continued pending submission of Corrective Action
☐ Withheld or suspended until satisfactory corrective action is completed.

This report is confidential and distribution is limited to the audit team, client representative and the Husk Registrars' office.

Confirmed by Husk Registrars Corporate Representative:
☐ Granted / ☐ Continued / ☐ Withheld / ☐ Suspended until satisfactory corrective action is completed.

Audit Planning Matrix

Visits:	1	2	3	4	5	6
Start Date:	2023	2024	2025	2026	2027	2028
End Date:	2023	2024	2025	2026	2027	2028
	RC	S1	S2	RC	S1	S2

Area/ Department/ Process/Function	ASPECTS, RISKS, OBJECTIVES	Auditor: ISO 9001:2015 Clauses	John Senter	John Senter				
*Opening Meeting		Required	X	X	X	X	X	X
*Confirm Audit Scope	Include in Audit Report		X	X	X	X	X	X
*Plant Tour		Required	X	X	X	X	X	X
*Management Requirements:	Doc. Info.: Creating, Updating and Control.	7.5						
	QMS, Leadership, Planning for the QMS, Resources, Operation, Monitoring, measurement, analysis and evaluation, Improvement Leadership and commitment for the QMS, Customer focus. Quality policy,	4.1, 4.2, 4.3, 4.4 5.1 5.2 5.3 6.1 6.2 6.3 7.4, 9.3	X	X	X	X	X	X

	Organizational roles, responsibilities and authorities Actions to address risks and opportunities. **Quality objectives and planning to achieve them.** Planning of changes. Communication and Management Review of the QMS.							
***Internal Audits**	Doc. Info.: Creating, Updating and Control. Plan, establish, implement and maintain an audit programme(s) including the frequency, methods, responsibilities, planning requirements and reporting.	7.5, 9.2	**X**	**X**	**X**	**X**	**X**	**X**
*** Improvement / Corrective Action**	**Doc. Info.:** Creating,	7.5	**X**	**X**	**X**	**X**	**X**	**X**

	Updating and Control. Determine and select opportunities for improvement and implement any necessary actions to meet customer requirements and enhance customer satisfaction.	10.1, 10.2, 10.3						
*** Control of Non-Conforming**	**Doc. Info.:** Creating, Updating and Control. Control of nonconforming outputs	7.5 8.7	X	X	X	X		
Marketing, Sales and Quotes	**Doc. Info.:** Creating, Updating and Control. Customer communication. Determination of requirements related to products and services. Review of requirements related to	7.5 8.2.1 8.2.2 8.2.3 8.2.4 9.1		X			X	

	the products and services. Changes to Requirements for product and services. Customer satisfaction, Customer focus.							
Order Review / Entry	**Doc. Info.:** Creating, Updating and Control. Review of requirements related to the products and services.	7.5, 7.5.2, 7.5.3 8.2.3		**X**		**X**	**X**	
Design	**Doc. Info.:** Creating, Updating and Control. Production and service provision, design and development of products and services, General, Design and development planning, inputs, outputs, controls and changes.	7.5 8.3, 8.3.1, 8.3.2, 8.3.3, 8.3.4, 8.3.5, 8.3.6	**na**					
Production Planning	**Doc. Info.:** Creating,	7.5 8.5.1	**X**			**X**		**X**

	Updating and Control. Operation, Operational planning and control							
Production	**Doc. Info.:** Creating, Updating and Control. Control of production and service provision Identification and traceability Property belonging to customers or external providers Preservation Control of changes Release of products and services. Control of nonconforming outputs	7.5 8.5.1 8.5.2 8.5.3 8.5.4 8.5.6 8.6 8.7	**x**	**x**	**x**	**x**		**X**
QA / Inspection / Calibration	**Doc. Info.:** Creating, Updating and Control. Release of products and services. Nonconformity and	7.5 8.6 8.7, 10.2 7.1.5 9.1.1, 9.1.2, 9.1.3	**X**		**X**			**X**

	corrective action Monitoring and measuring resources Monitoring, measurement, analysis and evaluation. Analysis and evaluation, Improvement.	10, 10.1						
Shipping / Preservation	**Doc. Info.:** Creating, Updating and Control. Identification and trace ability Preservation and Post-delivery activities	7.5 8.5.2 8.5.4, 8.5.5		**X**			**X**	
Purchasing / Receiving	**Doc. Info.:** Creating, Updating and Control. Control of externally provided products and services. Type and extent of control Information for external providers.	7.5 8.4.1 8.4.2 8.4.3 8.5.1 8.5.2 8.6 8.7	**X**		**X**		**X**	

	Control of production and service provision Identification and trace ability Release of products and services. Control of nonconforming outputs							
HR / Training	**Doc. Info.:** Creating, Updating and Control. Resources, General, People Organizational Knowledge Competence Awareness	7.5 7.1, 7.1.1 7.1.2 7.1.6 7.2 7.3	**X**			**X**		
Maintenance, Work Environment and Infrastructure.	**Doc. Info.:** Creating, Updating and Control. Infrastructure Environment for the operation of processes	7.5 7.1.3 7.1.4	**X**		**X**			
IT/ Accounting	**Doc. Info.:** Creating, Updating and Control. Determination of	7.5 8.2 9.3			**X**			

	requirements for products and services Management review.							

* All visits

In column 1, list the departments, processes, activities or other functional units, as defined by the organization.

In column 2, list the primary or critical aspects, objectives and/or KPIs applicable to the department, process or activity.

In column 3, list all the elements of the applicable standard that relate to each activity or process defined in column 1 (when complete, each element of the standard must be listed in column 2 at least once).

ISO 9001:2015 Audit Plan
2 Day Surveillance Audit
Start Date: 11/18/2025 End Date: 11/19/2025

Midwset Manufacturing & Logistics
233 North Cleveland Street
Minster, OH 45865

Contact: Ms. Terry O'Leary
Phone: 517 404 1528 **Ext.:**
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Husk Registrars
Auditor: John Senter
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E-Mail: john.senter@live.com

Audit Schedule	
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Days Per Audit	**2 (Re-certification in 2026)**

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	Context and Interested Parties, Communication, Management System Reviews (Actions to address risks and opportunities. Quality objectives and planning to achieve them.), Internal Audit Records, and Corrective Action Records, customer feedback	
	Follow up from last external audit	
12:00	Lunch	
12:30	Production Planning	
	Production	
3:30	Auditor's time to complete reports	
4:30	Closing Meeting	
Day 2 9:00	Arrive on site	
9:30	Production Continued	

12:00	Lunch	
12:30	Quality/Inspection/Calibration/Non-Conforming	
3:00	Documented Information	
3:30	Auditor's time to complete reports	
4:30	Closing Meeting	

Notes to Client:

Times are approximate and will be confirmed at the opening meeting prior to commencement of the audit.

Husk Registrars auditors reserve the right to change or add to the elements listed before or during the audit depending on the results of on-site investigation and review of previous audit documents.

A private place for preparation, review and conferencing is required for the auditor's use.

Please provide a light working lunch on-site each audit day.